

**NATIONAL LAW UNIVERSITY AND
JUDICIAL ACADEMY, ASSAM**



MINUTES

of the

8TH MEETING OF THE ACADEMIC COUNCIL

July 10, 2019 at 11.00 a.m.

Venue: Conference Hall, Administrative Block, NLUJA, Assam,
Hajo Road, Amingaon, Kamrup (R) Guwahati-31.

Minutes of the 8th Academic Council Meeting of National Law University and Judicial Academy, Assam held on July 10, 2019 at 11.00 a.m. in the Conference Hall of NLUJA, Assam, Hajo Road, Amingaon, Kamrup (R) Guwahati-31.

Following were the Members of the Academic Council

1. Prof. (Dr.) J.S. Patil,
Vice-Chancellor, NLUJA, Assam
2. Hon'ble Shri Justice Ujjal Bhuyan,
Judge, Gauhati High Court, Guwahati
3. Shri Robin Phukan,
Registrar General,
Gauhati High Court, Guwahati.
4. Shri Miftahuddin Ahmed,
Registrar, NLUJA, Assam
5. Prof. (Dr.) V. Vijayakumar,
Vice-Chancellor, National Law
Institute University, Bhopal
6. Prof. (Dr.) Vijender Kumar,
Vice-Chancellor, Maharashtra
National Law University, Nagpur
7. Prof. Subir K Bhatnagar
Vice-Chancellor, Dr. Ram Manohar
Lohiya National Law University,
Lucknow
8. Dr. Topi Basar,
Associate Professor of Law,
NLUJA, Assam.
9. Prof. (Dr.) Subhash Chandra Singh
Adjunct Professor of Law,
NLUJA, Assam
10. Dr. Diptimoni Boruah,
Associate Professor of Law
NLUJA, Assam.
11. Mr. Chiradeep Basak
Assistant Professor of Law,
NLUJA, Assam.
12. Dr. Mukund Sarada,
Principal, Bharati Vidyapeeth, Pune
LBS Road, 13 Sadashiv Peth,
Maharashtra 411030.
13. Shri Nilayananda Dutta,
Chairman,
Bar Council of Assam, Guwahati

Member present

1. Prof. (Dr.) J.S. Patil
2. Hon'ble Shri Justice Ujjal Bhuyan
3. Shri Robin Phukan
4. Shri Miftahuddin Ahmed
5. Dr. Topi Basar
6. Prof. (Dr.) Subhash Chandra Singh
7. Dr. Diptimoni Boruah.

Hon'ble Chairman, Prof. (Dr.) J.S. Patil extended a warm welcome to all the Hon'ble Members of the Academic Council of NLUJA, Assam.

Thereafter, the following items were placed before the Academic Council and after due deliberations the following decisions were taken:

Agenda	Resolution
<p>Item No.1</p> <p>Presentation of the Minutes of 7th Academic Council Meeting of National Law University and Judicial Academy, Assam held on April 9, 2018 at 4.30 P.M. in the Conference Hall of NLUJA, Assam, Hajo Road, Amingaon, Kamrup, Guwahati-31 and action taken thereon.</p>	<p>No further action was called for.</p>
<p>Item No.2</p> <p>NEW INITIATIVES IN 2019-2020</p> <p>National Law University Assam is progressing steadily in its academic, research and other related activities over the years. In order to develop it in to a world class university, some initiatives are proposed to be taken up in the coming years.</p> <p>1. Scholarships for Students</p> <p>To inspire students to focus on their studies and also with a view to develop better academic atmosphere in the University, scholarships are to be instituted at LL.B. and LL.M. level. Following types of Scholarships can be instituted:</p> <ul style="list-style-type: none"> i) NALUJAA Students General Merit Scholarship ii) NALUJAA Scheduled Caste and Scheduled Tribes Students Merit cum Welfare Scholarship iii) NALUJAA Other Backward Classes and Minorities Students Merit cum Welfare Scholarships iv) NALUJAA Differently Abled Students Merit cum Welfare Scholarship 	<p>The Academic Council considered the NEW INITIATIVES IN 2019-2020 and approved the same.</p>

2. LL.M. Ph.D. Integrated Programme

Top five students admitted to LL.M. course can be selected to pursue LL.M. and Ph.D. Integrated programme with scholarship facility.

3. Finishing School

A finishing school is to be established to provide comprehensive training and orientation for the interested and registered LL.B. fifth year students in the areas in which they are planning to build their career – law practice, judicial appointments, corporate employment, higher studies, NGOs, startups, government employment, competitive examinations, etc.

4. Student Mentoring

A student mentoring programme is to be launched by placing a group of five students under the mentorship of a teacher. The teacher concerned has to closely monitor all-round performance of the students and provide the necessary support in shaping their personality.

5. CBCS

With a view to develop the University as a world class legal education institution, it is necessary to develop a variety of credit courses and launch choice based credit system in LL.B. Honours programme.

6. Grant for Research and Study Centers

To strengthen research activities in the University it is proposed to allocate seed money to the already functioning research centers. Following Research Centers are already functioning in the University:

- i) Center for Child Rights
- ii) Center for Advance Research on Intellectual Property Rights
- iii) Center for Environmental Law
- iv) Center for Disability Studies and Health Laws
- v) Center for Block-chain Technology

<p>and Legal Studies</p> <p>vi) Center for Gender Justice</p> <p>vii) Center for Advance Research in Corporate Law</p> <p>The above mentioned new initiative are placed before the Academic Council for its consideration and approval.</p>	
<p>Item No.3</p> <p>Adoption of UGC Regulations on Minimum Qualification for Appointment for Teachers and other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education, 2018</p>	<p>The Academic Council approved the adoption of UGC Regulations on Minimum Qualification for Appointment for Teachers and other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education, 2018 (at Annexure-I).</p>
<p>Item No.4</p> <p>Change in nomenclature of the Specialization Course on International Law taught in the 7th Semester of B.A., LL.B(Hons.) Five Year Integrated Course</p>	<p>The Academic Council approved the change in nomenclature of the Specialization Course on International Law taught in the 7th Semester of B.A., LL.B(Hons.) Five Year Integrated Course from "General Principles of International Law" to "General Principles of International Criminal Law"</p>
<p>Item No.5</p> <p>Revised Regulation on Ph.D Programme conducted by the University</p>	<p>The Academic Council approved the "Revised Regulation on Ph.D Programme" conducted by the University with the incorporation of point at 2.1 (a) (iii) of Chapter-II "Officiated as District & Session Judge or equivalent with 5 years experiences" (at Annexure-II).</p>
<p>Item No.6</p> <p>Consideration of the appeal submitted by Ms. Ritambra Kaushik, daughter of Mr. Kamlesh Kaushik, who had dropped out of the B.A., LL.B (Hons.) Five Year Integrated Course in Academic Year 2018-19 after she was promoted to the 4th Year</p>	<p>The Academic Council favourably considered the appeal submitted by Ms. Ritambra Kaushik.</p> <p>The Council also recommended for making necessary amendment in the Academic Regulation to specify the</p>

	provision of re-admission of drop outs.
Item No.7 Approval of Rules related to Moot Court Exercises facilitated by the University.	The Academic Council approved the Rules related to Moot Court Exercises facilitated by the university (at Annexure-III).
Item No.8 Scheme for Continual Evaluation of Project/Dissertation/Moot Court related activities for B.A., LL.B (Hons) Five Year Integrated Course	The Academic Council approved the Scheme for Continual Evaluation of Project/ Dissertation/Moot Court related activities for B.A., LL.B (Hons) Five Year Integrated Course (at Annexure-IV, V & VI).
Item No.9 Approval of Rules guiding On-Campus Placement Activities facilitated by the University	The Academic Council approved the Rules guiding On-Campus Placement Activities facilitated by the university (at Annexure-VII).
Item No.10 Any other items with permission of the Chair	
Change in the nomenclature of the Specialization Course on IPR taught in the X th Semester of B.A., LL.B(Hons.) Five Year Integrated Course was proposed by concerned subject teacher from Intellectual Property Right Law (Biodiversity Act, Traditional Knowledge TCES & PPVFRA, 2001) to Biological Diversity Laws for Plant Variety, Farmer Rights and Traditional Knowledge”	The Academic Council approved the change in nomenclature of the Specialization Course on IPR taught in the X th Semester of B.A., LL.B(Hons.) Five Year Integrated Course from “Intellectual Property Right Law (Biodiversity Act, Traditional Knowledge TCES & PPVFRA, 2001)” to “Biological Diversity Laws for Plant Variety, Farmer Rights and Traditional Knowledge”



National Law University and Judicial Academy, Assam

Minutes of the Meeting of Hon'ble Vice-Chancellor with Faculty Members

June 26, 2021

Prof. (Dr.) Virendra Kumar Ahuja convened a meeting with the Faculty Members in order to weigh-up the academic culture of the University academia and plan the road-map for the future.

The meeting was presided over by the Hon'ble Vice-Chancellor; Faculty Members, including *Guest Faculty, Adjunct Faculty and Visiting Faculty Members* attended the meeting.

The meeting commenced with a round of introduction by faculty members, followed by a prefatory note by the Hon'ble Vice- Chancellor, wherein he:

- *Reviewed the fact that the growth of NLUJA, Assam is not in proportion with its potential and emphasized on rendering his unconditional support to steer the institution to its deserved destination.*
- *Sought the support of the faculty members in fulfilling his vision for the University. Further he assured the faculty members of his accessibility and urged upon all to work on becoming self-starters for self-growth and growth of the institute.*
- *Solicited the active support of Associate Professors in shaping the University's future.*

Thereafter, a deliberation on the agenda items followed, as delineated hereunder:

Agenda Item I:

Progress of Research Centres

Subsequent to taking an update on the various activities of the functional centres, the following resolutions were arrived at:

- *Research Centres should endeavour to launch programmes in collaboration with acknowledged fora, such as international and national agencies/councils/societies in order to enhance credibility, sustainability and visibility of the same.*
- *Efforts to be made to forge ties with DONER Ministry for sponsorship of Centre activities*
- *Collaboration among centres be considered in order to promote resource sharing and explore intersectionality*
- *Online activities under the aegis of the centres be mulled , in order to economize resource utilization*
- *Legal Aid Cell, though has endeavoured to conduct community outreach programme, ought to extend its reach further into the community, with thrust on female students*
- *Legal aid Cell to forge ties with Assam State Legal Service Authority, ALSA for collaborative ventures*
- *Centres to focus on bringing out quality publications, preferably in the form of compendium*

Further, it was brought up in the meeting that absence of accommodation facility at the University increases the incidental costs towards organization of academic activities. Therefore, some liaison with the government for University Guest House could be explored.

Agenda Item II:

Accreditation of University by NAAC and IQAC

The Hon'ble Vice-Chancellor inquired into the University's preparation for applying to the NAAC for accreditation, following which it was put forth that:

- *Committees constituted for facilitation of University activities for accreditation by NAAC be revisited to make necessary replacements wherever required,*
- *Faculty Members and Administrative Staff Members require a training on the nuances of documentation required for accreditation by NAAC*
- *A team of experienced academicians be invited to provide hands on training to Faculty Members and Administrative Staff Members in order that NLUJA, Assam may prepare itself in a focused manner*
- *The University IQAC has been duly constituted; therefore, centres should rope in the IQAC of the University in hosting academic programmes.*

Agenda Item III:

1. University Publications

The Hon'ble Vice Chancellor took stock of the progress of the two (02) flagship journals of NLUJA, Assam viz. *NLUALPR* and *NLUAR*, based thereon the following were proposed for needed action:

- *Editorial Boards to review the periodicity of the Two (02) journals, in terms of viability of publication*
- *The review meeting to also mull on the publication lag of the said journals and modality to streamline the same*
- *Regularity of publication and maintenance of stipulated periodicity be attended to*
- *The administrators to address delay in timely publication of journals owing to lassitude on the part of University empanelled printers at the time of issuance of Work Order. It is to be ensured that only such printers are empanelled who are able to deliver timely and qualitatively.*
- *The two journals published by the University be enlisted in online research database e.g. **Hein Online** , **SSC Online** , **West Law** and **Lexis Nexis** for visibility and credibility*

Agenda Item IV:

University Newsletter

After taking an update on the University Newsletter, **Nyayalok**, the following propositions were made:

- *Regularity and visibility of University Newsletter to be of paramount importance. The newsletter broadcasts the activities of the University to the world outside; therefore, it is important that the same is efficaciously promoted in the public domain*
- *Whereas the 2020 edition of the Newsletter could be an annual enterprise owing to restricted activities in the wake of COVID 19 pandemic, from the year 2021 the University ought to bring out bi-annual editions*
- *The Newsletter should classify news items appropriately and present the same for easy navigation of information showcased*
- *The NSS Unit of NLUJA, Assam should also consider bringing out e-Newsletter bi-annually in order to broadcast its activities*

Agenda Item V:

Academic Activities

With reference to the instant agenda item, it was resolved that:

- The Centres as well as faculty members in individual capacity, should endeavour to rope in academic luminaries from the country and abroad, to deliver lecture/lecture series/conduct workshops etc. in order to animate the academic culture of the University
- However, prior to roping in Resource Persons for any programme, the approval of the authorities is to be sought. Further, background information on the Resource Person to be shared with the University, in order that authorities could make a reasonable choice.
- Prior to launching any promotional exercise for any programme, promotional material to be shared with the University for approval of the authorities
- **E-promotion of University academic and extra-mural activities to be optimally explored**
- An efficacious documentation protocol be developed for all programmes. This will enable the University to maintain records for future reference.

Agenda Item VI:

Constitution of Committees, Odd Semester, Academic Year 2021-22

Following a consensual deliberation, Committees constituted for *Odd Semester, Academic Year 2021-22* is annexed herewith as **A-I**

Agenda Item VII:

Two (02) Credit Choice Based Credit Courses (CBCC)

In the *Odd Semester, Academic Year 2021-22* the following centres would offer CBCC

- Centre for Gender Justice
- Centre for Child Rights
- CELAR
- CDSLH
- IPR Chair

Agenda Item VIII

Any other item

No item was discussed under this head

The online meeting ended with a vote of thanks to the Hon'ble Vice Chancellor for having conducted such an in-depth exercise to animate the academic environment of the university.

Minutes of the 10th Academic Council Meeting of National Law University and Judicial Academy, Assam held on 13th August, 2022 at 11.00 a.m. in the Conference Hall of NLUJA, Assam, Hajo Road, Amingaon, Kamrup (R) Guwahati-31.

Members of the Academic Council	Member present
1. Prof. (Dr.) V. K. Ahuja Vice-Chancellor, NLUJA, Assam	1. Prof. (Dr.) V. K. Ahuja
2. Hon'ble Shri Justice Manish Choudhury, Judge, Gauhati High Court, Guwahati	2. Hon'ble Shri Justice Manish Choudhury.
3. Mr. Gautam Baruah Registrar General, Gauhati High Court, Guwahati.	3. Mr. Gautam Baruah
4. Dr. Indranoshee Das, Registrar, NLUJA, Assam	4. Dr. Indranoshee Das,
5. Dr. Kuladhar Saikia, IPS (Rtd.) <i>Ex-DGP, Assam, President, Assam Sahitya Sabha</i>	5. Dr. Kuladhar Saikia, IPS (Rtd.)
6. Dr. Sanjib Kumar Borkakoti, <i>Author & Academician</i>	6. Dr. Sanjib Kumar Borkakoti
7. Mr. Moloy Bora, IAS (Rtd.) <i>Former Commissioner & Secretary, Sports, Govt. of Assam</i>	7. Mr. Moloy Bora, IAS (Rtd.)
8. Mr. Gajanand Sahewalla <i>Chairman, Bar Council of Assam</i>	8. Mr. Gajanand Sahewalla
9. Prof. Debasis Poddar, <i>Professor of Law, NLUJA, Assam</i>	9. Prof. Debasis Poddar,
10. Dr. Ishrat Husain, <i>Associate Professor of Law, NLUJA, Assam</i>	10. Dr. Ishrat Husain
11. Dr. Jupi Gogoi, <i>Associate Professor of Law, NLUJA, Assam</i>	11. Dr. Jupi Gogoi
12. Dr. Gitanjali Ghosh, <i>Assistant Professor of Law, NLUJA, Assam</i>	12. Dr. Gitanjali Ghosh

Agenda of the 10 th Academic Council	Resolution																											
<p>Item No.1</p> <p>Presentation of minutes of the 9th Academic Council Meeting of National Law University and Judicial Academy, Assam held on 28th August, 2020 at 2.00 p.m. in the Conference Hall of NLUJA, Assam, Hajo Road, Amingaon, Kamrup (R) Guwahati-31.</p>	<p>No further action is called for.</p>																											
<p>Item No.2</p> <p>Academic Calendar (Odd Semester), 2022-23</p>	<p>The Academic Council approved the Academic Calendar (Odd Semester), 2022-23, placed at <i>Annexure-A</i></p>																											
<p>Item No.3</p> <p>Curriculum of B.A.LL.B (Hons.) Five Year Integrated Course</p>	<p>The Academic Council approved the minor changes to the restructured Curriculum of B.A.LL.B (Hons.) Five Year Integrated Course, introduced in concurrence with BCI and UGC guidelines, w.e.f. Academic Year 2022-23.</p> <table border="1" data-bbox="826 1070 1465 1805"> <thead> <tr> <th>Sl. No.</th> <th>Existent Provision</th> <th>Proposed Provision</th> </tr> </thead> <tbody> <tr> <td>1.</td> <td>101: Genera/Legal English</td> <td>101: Legal English & Research Methods - I</td> </tr> <tr> <td>2.</td> <td>105: General Law of Contract</td> <td>105: Laws Relating to Contract in India</td> </tr> <tr> <td>3.</td> <td>201: English Literature</td> <td>201: Legal English & Research Methods - II</td> </tr> <tr> <td>4.</td> <td>304: Environmental Law</td> <td>304: Constitutional Law of India - II</td> </tr> <tr> <td>5.</td> <td>305: Special Contract</td> <td>305: Administrative Law</td> </tr> <tr> <td>6.</td> <td>606: Administrative Law</td> <td>606: Environmental Law</td> </tr> <tr> <td>7.</td> <td>702: DPC</td> <td>702: Taxation Law</td> </tr> <tr> <td>8.</td> <td>-----</td> <td>1001: DPC</td> </tr> </tbody> </table> <p>Further, Academic Council suggested to introduce an Audit Course/Two- Credit Multi-Disciplinary Course on Land Law with regard to North East, in the curriculum.</p>	Sl. No.	Existent Provision	Proposed Provision	1.	101: Genera/Legal English	101: Legal English & Research Methods - I	2.	105: General Law of Contract	105: Laws Relating to Contract in India	3.	201: English Literature	201: Legal English & Research Methods - II	4.	304: Environmental Law	304: Constitutional Law of India - II	5.	305: Special Contract	305: Administrative Law	6.	606: Administrative Law	606: Environmental Law	7.	702: DPC	702: Taxation Law	8.	-----	1001: DPC
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8.	-----	1001: DPC																										



Item No.4

Continual Evaluation Scheme of the B.A.LL.B (Hons.) Five Year Integrated Course

The Academic Council approved the revised Continual Evaluation Scheme of the B.A.LL.B(Hons.) Five Year Integrated Course w.e.f. Academic Year 2022-23, as hereunder:

Sl. No.	Existent Provision	Proposed Provision
1.	Project Work : 40 marks	Project Work : 40 marks
2.	Seminar on Project work: 20 marks	Seminar on Project work: 20 marks
3.	Class Tests (35 x 2): 70 marks	Mid-Semester Test (40 x 1): 40 marks
		Assignment (Case Report/ Legal Report/Group Project/Peer Teaching etc. that would facilitate active learning as enjoined by UGC and NAAC): 30 marks
4.	Attendance in Class: 10 marks	Attendance in Class: 10 marks
5.	Semester End Examination: 60 marks	Semester End Examination: 60 marks

Item No.5

Second Convocation Ceremony

The Hon'ble Academic Council took note of the fact that the Second Convocation Ceremony would be held imminently.

Item No.6

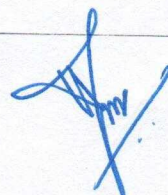
Distinguished/ Visiting Professors of the University

The Academic Council took note of the induction of following Distinguished/ Visiting Professors of the University in the Academic Year 2021-22

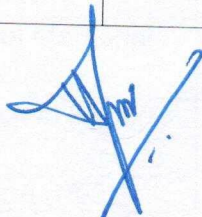
Sl. No.	Incumbent	
Distinguished Professors of Law		
1	Prof. (Dr) Upendra Baxi Former Vice-Chancellor, University of Delhi	
2	Hon'ble Justice A.K. Sikri Retd. Judge, Supreme Court of India International Judge, Singapore International Commercial Court, [SICC] Singapore Supreme Court	
3	Prof. (Dr.) B.B Pande Former Professor of Law, University of Delhi	
4	Prof.(Dr.) M.P. Singh Former Chancellor, Central University of Haryana Former Director, IIL, New Delhi Former Vice-Chancellor, WBNUJS Former Director, Delhi Judicial Academy	
Visiting Professor of Law		
1.	Prof. (Dr.) B.T. Kaul Former Professor of Law, University of Delhi Former Chairperson, Delhi Judicial Academy	

Item No.7**Special Repeat Examination**

The Academic Council approved and ratify the **Special Repeat Examination** scheme for students whose academic arrears persist even after **Repeat Examination** and **Examination as Re-registered Candidate**; in order that such students may be able to earn their degree within stipulated time, i.e. 2 + two (02) semesters for the PG Course and 10+ four (04) semesters for the UG Course.



<p>Item No.8 NLUJA, Assam Minor Research Projects</p>	<p>The Academic Council approved the proposal regarding support of Minor Research Projects of institutional Research Centres in order to promote institutional research and academic publication related activities.</p>
<p>Item No.9 Honorarium to Faculty Member for Research Paper Published in Scopus/ Web of Science enlisted journals</p>	<p>The Academic Council approved honorarium of Rs.5000/- per paper be paid to faculty members and other library staff members who publish papers in Scopus/ Web of Science enlisted journals.</p>
<p>Item No.10 NLUJA, Assam Certificate Courses</p>	<p>The Academic Council approved the proposal of University to launch Certificate Courses under the aegis of institutional Research Centres in order to promote skill and value based learning, as required for University accreditation by NAAC and as enjoined by NEP, 2020 w.e.f Academic Year 2022-23.</p>



NATIONAL LAW UNIVERSITY AND JUDICIAL ACADEMY, ASSAM

HAJO ROAD, AMINGAON, GUWAHATI – 781031

ACADEMIC CALENDAR – Odd SEMESTER 2022-23

B.A.,LL.B (HONS.) FIVE YEAR INTEGRATED COURSE & ONE YEAR LL.M. DEGREE PROGRAMME

AUGUST-DECEMBER, 2022

ACADEMIC ACTIVITY	DATE
Commencement of Classes	August 1, 2022
UG & PG Orientation Programme	August 8, 2022
Repeat Examination Result of Even Semester, 2022-23 Examination (UG)	August 23-24, 2022
Mid-Semester Examination/Test (UG) & PG Core Papers Examination	September 23-29, 2022
Submission of Seminar Paper I (PG)	November 4, 2022
Viva- Voce of Seminar Paper I (PG)	November 9, 2022
Submission of Project Report (UG- Year I,II & III)	November 7, 2022
Submission of Project Report/Moot Memorial (UG- Year IV & V)	November 8, 2022
Presentation and Viva-voce of Project Report (UG- Year I,II & III)	November 15-18, 2022
Submission of Seminar Paper II (PG)	November 30, 2022
Presentation and Viva-Voce (UG- Year IV & V)	November 22-25, 2022
Viva- Voce of Seminar Paper II (PG)	December 1, 2022
Semester (Odd) End Examination, 2022-23 UG & PG	December 12-20, 2022
Note:	
<ol style="list-style-type: none"> Weekly updates on project work (for UG Sem I, II & III) is to be ensured by teachers as the same Assignment of 30 marks (Case Report/ Legal Report/Group Project/Peer Teaching etc. that would facilitate active learning as enjoined by UGC and NAAC) for UG Sem I, II & III may be conducted as per convenience of the concerned teacher and class. 	
Total no. of teaching days: 92	
Total No. of teaching weeks: 19	

NATIONAL LAW UNIVERSITY AND JUDICIAL ACADEMY, ASSAM

**REGULATIONS RELATING TO
Ph.D. (DOCTOR OF PHILOSOPHY) PROGRAMME**

To regulate admission process, course work, allotment of supervisors, evaluation of thesis, award of degree and other related matters related to the Ph.D. Programme in concurrence with the UGC (Minimum Standards and Procedure for Awards of M.Phil. / Ph.D. Degree) Regulations, 2009 with such amendments as made by the UGC from time to time.

CHAPTER-I

1.1 Name of the Regulations

- (a) These Regulations may be called ‘**National Law University and Judicial Academy, Assam Regulations Relating to Ph.D. (Doctor of Philosophy) Programme**’
- (b) The Regulations shall come into force with immediate effect once notified under the authority of the Executive Council. These Regulations shall supersede all the existing Regulations on the concerned subjects.

1.2 Definitions

- (a) “**Research**” means systematic study or investigation in order to discover new knowledge in the form of facts/patterns or new interpretation of ideas.
- (b) “**Doctor of Philosophy (Ph. D.)**” signifies that the awardees of the degree have completed successfully the stipulated course syllabi and undertaken a substantial piece of original research, which has been conducted and reported by the holder under proper academic supervision, and in a research environment for a prescribed period.
- (c) “**Research Student**” means a student who is admitted to Ph. D. program of National Law University and Judicial Academy, Assam through the procedures adopted by same.
- (d) “**Supervisor**” means a member of the faculty of National Law University and Judicial Academy, Assam who supervises the research work carried out by the student/candidate for Ph. D. “**Co-supervisor**” means a person who may or may not be a member of the faculty of National Law University and Judicial Academy, Assam, but one who is recognized by the University to supervise the research work of a student jointly with a Supervisor.
- (e) “**Research Committee**” is the apex body that administers the research programs of the University.
 - I The Vice-Chancellor shall constitute a Research Committee consisting of all the Professors, three Associate Professors of the University and three external experts

to supervise Doctoral Degree Programme.

- II. The nomination of external experts shall be for one year and the nomination of Associate Professors shall also be for one year but on rotation basis amongst all the Associate Professors of the University.
- III. The Vice-Chancellor shall be the Chairperson of the Research Committee, however in the absence of the Vice-Chancellor, one Professor of the University nominated by the Vice-Chancellor shall be the Chairperson of the Research Committee.
- IV. One-third members of the Research Committee shall form the quorum at the meeting.

(f) “Board of Examiners of Thesis (BET)” for examination of a thesis shall consist of internal examiner(s) (normally all the supervisors) and two external examiners. The external examiners shall be chosen by the chairperson of the Academic Council (AC) from a panel of five members proposed and recommended by the Supervisor through the Dean of the Department/ Chief Coordinator (Academic). The supervisor(s) shall propose the panel of examiners at the time of submission of synopsis of the thesis.

(g) “Oral Defense Evaluation Committee (ODEC) of a research student shall evaluate the performance on the Viva-voce examination or oral defense of the research work carried out by him/her. The committee shall consist of the internal examiner(s) and one of the external examiners of BET selected by the Chairperson of AC .If none of the external examiner(s) of BET is available for evaluation of oral defense, an alternative examiner shall be selected by the chairperson of the AC, preferably from the panel of examiners submitted by the supervisor(s).

1.3 Ordinances

- a) **National Law University and Judicial Academy, Assam** shall award the degree of Doctor of Philosophy (Ph. D.) to a candidate who has successfully completed the required course work and the stipulated program of research in the University.
- b) The Academic Council (AC) of the University formulates the program of research with the governing rules and regulations. The AC can modify or change the structure, the governing rules and regulations from time to time.
- c) A candidate to be awarded the Ph.D. degree has to submit a thesis embodying the findings of his/her research carried out under this program .The thesis should be a record of an original contribution of high quality to the advancement of knowledge as judged by experts in the relevant area.
- d) A candidate shall become eligible for the award of Ph. D degree after fulfilling the academic requirements prescribed in the Ph.D. Regulations of this University.
- e) The Executive Council and General Council of the University shall approve the award upon the recommendation of the Executive Council and the Academic Council.

- f) In the case of any controversy in the BET or ODEC report, the decision of the AC shall be final and binding.
- g) The Ph.D. degree shall be awarded in the discipline of the department in which the student registers for Ph.D. program.

CHAPTER-II

2.1 Eligibility for Admission

- (a) To be admitted to the candidature for a Ph.D. Degree Programme an applicant shall have :

- (i) Obtained a Master's Degree in Law from any recognized University securing not less than 55% marks or its equivalent CGPA.

or

- (ii) Obtained a Master's Degree in any discipline from a recognized University with not less than 55% marks or its equivalent CGPA.

Provided that candidates with qualifications in Social Sciences, Humanities And Sciences may be admitted to the programme if the research they wish to pursue interfaces with the discipline of Law with a special focus on Public Policy Or Law.

Or

- (iii) Officiated as District and Session Judge or equivalent with five (5) years of experience.

- (iv) Officiated as Judge of any High Court in India with two (2) years' experience or Judge of the Supreme Court of India.

- (v) Passed the Company Secretary Examination conducted by the Institute of Company Secretaries of India and awarded the Associate Membership of the Institute (ACS) shall be eligible for admission to the programme if the research they wish to pursue interfaces with the discipline of law with a special focus on public policy or law.

or

- (vi) Passed the final examination of the Institute of Chartered Accountants of India, New Delhi.

- (vii) The University shall update the prerequisite qualifications (Pre-requisite/Qualification for Ph.D. admission) from time to time. Prior to the advertisement for Ph. D. admission, if needed, the concerned department shall review the Prerequisites/Qualifications and present in the Admission Brochure.

Provided that the candidates whose final result of the qualifying examination is awaited for any reason shall be allowed to appear provisionally in the admission test. Such candidates shall have to produce the final result of the qualifying examination at the time of the final presentation of their Ph.D. proposals.

Provided further that the requirement of 55% marks or its equivalent at Master's Degree is relaxed to 50% marks or its equivalent in case of candidates belonging to SC / ST and Differently Abled Persons (DAP).

- (b) The Vice-Chancellor may permit a member of the National Law University and Judicial Academy, Assam faculty to take up Ph.D. Degree Programme along with his / her teaching assignment on the condition that his / her teaching and other responsibilities are not hampered in any way provided. Provided further that, such candidates fulfill the conditions prescribed in the Ph.D. Regulations.

2.2 Notification for Ph.D. admission

The Controller of Examinations (CoE)/ Registrar, through advertisements placed in newspapers and through the University website, shall make notification for admission to Ph. D. program annually, subject to availability of vacancies.

2.3 Procedure for Admission

- (a) All the candidates shall appear for admission test except those candidates who have qualified UGC NET / JRF, SLET / M.Phil. and Judge [as referred in Regulation 2.1 (a)(iii)] as per these Regulations.
- (b) All the candidates who have cleared the admission test as well as those who were exempted from appearing for it are required to attend an interview before the Research Committee.
- (c) All the candidates who have cleared the admission test as well as those who were exempted from appearing for it are required to submit a preliminary proposal of 1000 words indicating the hypothesis, the statement of problem, research outlines, set of research questions, proposed research methodology and survey of literature. The short-listed candidates shall be called for interview by the Research Committee.
- (d) All research proposals including the preliminary proposals shall be screened through the anti-plagiarism software. If the percentage of plagiarism for any proposal exceeds 15% or more of the total work, or 10% or more of total work from a single source then the candidates shall not be called for the interview.
- (e) Candidates who have cleared the admission test and the interview shall be provisionally admitted for Ph.D. and are required to attend a course work programme

conducted by the University.

- (f) After successful completion of the course work programme, the candidates shall submit their research proposal.
- (g) The research proposals shall be evaluated by all members of the Research Committee and based on the evaluation, research proposals shall be short-listed.
- (h) Candidates whose proposals are short-listed for approval shall be required to present their research proposals to the Research Committee. Subsequent to this presentation, the Committee shall recommend to either register the proposal or seek its re-submission in accordance with suggested modifications.
- (i) Candidates who have cleared the admission test and the interview *on pre-proposal* and also completed the research methodology course shall be given two opportunities to re-submit their proposal on the basis of the recommendation of the Research Committee.
- (j) Research Scholars shall submit the title of the thesis along with the research proposals for approval through the Supervisor / Supervisors to the Research Committee. The title approved by the Research Committee shall not be substantially altered except with the permission of the Research Committee.
- (k) Once a candidate clears the admission test, successfully gives the Interview, completes course work programme and makes final Presentation before the Research Committee, he / she shall be allowed to take admission into Ph.D. Degree Programme and period of his / her registration for Ph.D. shall be counted thereafter.

2.3 Syllabus for Admission Test

- (a) The admission test shall comprise of 25 (Twenty Five) questions of one mark each (Multiple Choice Questions (MCQ) and 5 (Five) short descriptive questions to be attempted out of 10 (Ten) questions of 5 (Five) marks each. There shall be two compulsory questions of 25 (Twenty Five) marks each wherein the candidate shall write an Essay on any topic of his / her area of interest in about maximum 1000 (one thousand) words. The Examination shall be of two hours duration. The qualifying marks of the admission test shall be of 55% for the General Category and 50% for the other categories, i.e., SC / ST and Differently Abled Persons.
- (b) The question paper shall consist of legal and logical reasoning for multiple choice questions whereas the short descriptive questions and essay type questions shall be from Contract Law, Jurisprudence, Personal Laws, Constitutional Law, International Law, Criminal Law, Human Rights Law, Environmental Law, Corporate Law and Intellectual Property Law.
- (c) Special care shall be taken for the candidates of social sciences and humanities. If the research they wish to pursue interfaces with the discipline of law with a special focus on public policy or law, the question paper shall be prepared accordingly.

CHAPTER-III

3.1 Categories of Enrolment

(a) Full-time Enrolment

- (i) Enrolment for the Ph.D. Degree Programme shall ordinarily be full-time. The candidate shall put in a minimum of two-years residence of which at least 18 months shall be in the University. There shall thus be provision for the candidate to earn credits for research work done outside the University.
- (ii) Three years shall be the maximum period in which a full time candidate shall complete the work and submit it for evaluation.

(b) Part-time Enrolment

There shall be provision for part-time enrolment for the Ph.D. Degree Programme.

- (i) A part-time candidate shall put in a minimum of three years of part-time study in the University including time spent for research at any other place with the permission of the Supervisor.

Provided that the part-time candidate shall put in residence in the University for at least a total period of six months spread over the three year period.

- (ii) Five years shall be the maximum period in which the part-time candidate shall complete the research work and submit it for evaluation.
- (iii) Conversion either from part-time to full-time or from full-time to part-time may be permitted only with the approval of the Research Committee.
- (iv) In cases of both part-time and full-time enrolment the Supervisor of the candidate shall ensure monitoring of the research work done by the scholars outside the University campus by insisting on production of adequate proof of work done.
- (v) A candidate who has been enrolled in any other National Law Universities may be enrolled at National Law University and Judicial Academy, Assam with the approval of the Research Committee when the Supervisor of such a candidate joins National Law University and Judicial Academy, Assam.

Provided the University where the candidate was earlier enrolled consents to the same. The Supervisor has to give a detailed Report as to the course work done, fulfillment of residential requirement and the progress of research work to the Research Committee before such an application is considered by the

same. Similarly, National Law University and Judicial Academy, Assam would permit transfer of a candidate enrolled with it when the Supervisor allotted to him / her joins some other National Law University with the consent of such University.

- (vi) Employed Part Time candidates shall submit a No Objection Certificate from their employers stating that the candidates shall be allowed to get themselves admitted to the Ph. D. program, if selected. Such candidates shall complete their course work and submit the Plan of Research (PoR) by third semester after obtaining necessary leave from their employers.
- (vii) Project Fellows working on different research projects at National Law University and Judicial Academy, Assam may be admitted to the Ph. D. program provided they satisfy the eligibility criteria, subject to the consent of the Principal Investigator of the project. Project fellows shall submit their applications accompanied by no objection certificate from the Principal Investigator.
- (viii) Recognized organizations, institutions, corporates may sponsor students/candidates from India and abroad. They shall be admitted provided they satisfy the requisite qualification and they shall not be entitled to any fellowship/assistantship from the University. They shall work full time for the Ph. D. course work. Sponsored candidates shall submit a sponsorship certificate from their employers in proper format

(c) Expiry / Lapse of Enrolment

Enrolment for the Ph.D. Degree Programme shall be deemed to have lapsed after three years from the date of enrolment in the case of full-time scholars and five years in the case of part-time scholars.

(d) Extension of Time

- (i) The Research Committee, on the application by the concerned scholar along with the report of the Supervisor, may give extension of time for a period of six months at a time but for a maximum period of one year.
- (ii) Beyond one year, the Executive Council on the recommendation of the Academic Council may give extension for one more year, on the application of the Scholar along with the recommendation of the Supervisor and the Research Committee.

The registration of a candidate shall stand cancelled in case of failure of submission of thesis within the prescribed period including the extended period. Such candidates shall be allowed to register as a fresh candidate for continuation of his/her research. He/ She shall be treated as fresh candidate; however, the maximum period for submission of the thesis shall be four semesters.

3.2 Supervisors

(a) Allotment of Supervisors

- i. The Research Committee shall appoint one or more faculty member(s) to supervise the Ph.D. scholars.
- ii. If the area of study so requires, an External Supervisor possessing expertise and experience in the area of study may be appointed, in addition to at least one Internal Supervisor who shall be a full time faculty member.
- iii. The Research Committee shall ensure that Supervisor(s) be competent to supervise the scholar and that they be available for supervision for the expected duration of the scholar's period of study.
- iv. The maximum limit for supervising Ph. D. students as Supervisors/Co-Supervisors at a time shall be as follows:
 - **Professor:** 8 (both as Supervisor and Co-supervisor), 4 as Co-supervisor in other Government and other Universities/Institutes recognized by University Grants Commission (UGC) and similar other Regulatory Authorities of India or reputed foreign University/Institute.
 - **Associate Professor:** 6 (both as Supervisor and Co-supervisor), 2 as Co-supervisor in other Govt. and Universities/Institutes recognized by UGC and similar other Regulatory Authorities of India or reputed foreign University/Institute.
 - **Assistant Professor:** 4 (both as Supervisor and Co-supervisor)
 - However, before deciding the number of students for each category of faculty, the Research Committee shall take into consideration the availability of infrastructure and the teaching commitments of the supervisor.

(b) Recognition of Supervisor

- i. Only a full time / permanent faculty who holds Ph.D. Degree can be a Supervisor for a Ph.D. scholar provided that she / he has completed at least three years teaching experience and has published at least two (02) quality research articles in peer reviewed journals after obtaining Ph.D. degree from any one of the Indian or foreign universities. Further, a full time / permanent faculty who holds Ph.D. Degree can be a Supervisor for a Ph.D. scholar if she/he has at least three years of post-doctoral research experience and has

published at least two (02) quality research articles in peer reviewed journals after obtaining Ph.D. degree from any one of the Indian or foreign universities

- ii. Faculty members who acquire Ph. D. degree and other requisite qualifications subsequent to their appointment in the University shall express their willingness to supervise research by writing to the Chairperson of the Research Committee
- iii. No faculty member shall be recognized as Supervisor/Co-supervisor during his/her probation period. Also, no Guest Faculty, Visiting Professor and Contractual Faculty shall be appointed as Supervisor/Co-supervisor. Visiting Professor of longer duration may be permitted to guide the Ph.D. students as Co-supervisor with approval of Academic Council.
- iv. If a Supervisor leaves the University, the Ph. D. student registered under her/him faces various difficulties, particularly when the student is on the verge of submission of her/his thesis. In such a case, on written request of the concerned student with consent of the Supervisor and submitted to the Chairman - Research Committee, one permanent faculty member of the university shall be appointed as the Officiating Supervisor for time-bound academic and administrative purposes. The name of the Officiating Supervisor shall not be incorporated in the thesis. However, his/her contribution shall be duly acknowledged.
- v. If a supervisor leaves the University permanently or temporarily or retires from service she/he shall continue to supervise the student(s) till she/he/they complete the work. However, the student(s) can apply to the Research Committee for a Co-supervisor with the consent of the Supervisor.
- vi. In very exceptional cases, an eminent scholar with significant contribution in his/her area of specialization/expertise and having experience of teaching and research totaling ten (10) years or more but without a Ph. D. degree may be recognized as Co-supervisor.
- vii. Under no circumstances shall a Ph. D. student have more than two supervisors including a Co-supervisor.
- viii. In case the Supervisor or the Co-supervisor is not in a position to continue supervision due to prolonged illness or other problems (in such a case she/he shall communicate her/his inability to the Research Committee) or for factors beyond his/her control, the Research Committee may appoint a new Supervisor or a Co-supervisor on receiving an application from the student concerned. In the case of change of Co-supervisor the application shall be endorsed by the Supervisor.
- ix. Those faculty members of the University who have been recognized as

Supervisors shall not take new Ph. D students to supervise three years prior to their retirement.

(c) Change of Supervisor

The Research Committee may consider the request of the scholar for change of the Supervisor. However, the request should be made at least six months prior to the date of submission of the thesis. This limitation shall not be applicable in the event of death of the Supervisor or the Supervisor being incapacitated to continue supervision on health grounds or shifting to a distant place / institution.

CHAPTER-IV

4.1 Ph.D. Course Work

The scholars should undertake course work for a minimum period of one semester on the following (12 credits):

- i. A paper on Research Methodology including computer applications;
- ii. A paper focusing on the selected research area;
- iii. A paper on the philosophical foundation of the concerned subject;

NOTE

The scholars possessing M.Phil. Degree may be exempted from taking the Research Methodology paper in the course work provided they have completed such course earlier;

The scholars shall secure at least 50% of marks in each paper;

The course work shall be completed within six months from the date of admission;

Scholars failing to secure 50% of marks in the courses mentioned above may be provided an opportunity to re-appear one or more time(s) within three months of the publication of results; and

The scholar shall be allowed to continue further research work only after completing the course work.

4.2 Registration in the Ph.D Programme

- i. The student shall submit a Progress Report (PoR) to the RC through his/ her supervisor in the subsequent semester after completion of the course work. The Chairperson, RC shall arrange for a seminar for presentation of the Progress Report by the scholar.
- ii. The Progress Report (PoR) shall indicate the area/issues/problems to be taken up, refer to previous research/literature on the same/similar/related areas/issues/problems, mention methodology/ field works/approaches to be adopted, expected outcome and relevance/ significance of the research.
- iii. The RC shall assess the feasibility of the PoR and the capability/preparedness of the student for carrying out the research and recommend one of the following:
 - *in view of the suitability/feasibility of the PoR and the capability/preparedness of the student he/she may be allowed to go ahead with research work;*
 - *the student shall be asked to revise his/her PoR incorporating the suggestions made and resubmit within a period of one month.*

The RC shall approve and forward the Progress Report to the CoE for notification.

4.3 Monitoring of Research Progress

- i. A scholar shall pursue the programme of study and research as approved by the Supervisor(s). Such programme shall include the presentation of a thesis embodying the results of the scholar's research work.
- ii. All scholars registered for Ph.D. Degree Programme shall submit the interim report every six months duly certified by the Supervisor. If they fail to submit two interim reports their candidature will automatically stand cancelled. The progress reports may be presented in a seminar organized under the mentorship of Chairperson, Research Committee.
- iii. At least once a year, or at any other time considered desirable, the Supervisor shall present to the Research Committee a report on the work of the scholars working under his / her supervision.

4.4 Period of Candidature

The period of candidature (from the date of Admission) of Ph. D student shall be as follows:

Category	Minimum period for submission	Maximum period of submission
Full time	six semesters	eight semesters (extension 2 semesters)
Full time (with M. Phil) and University Faculty/Staff	four semesters	eight semesters (extension 2 semesters)
Others	eight semesters	ten semesters (extension 2 semesters)

- i. A student may apply for extension maximum for two semesters with semester-wise admission for submission of the thesis to the Chairperson, RC during the eighth semester in case of a full-time student and the tenth semester in the case of a part time student. The RC may approve the request and recommend the needful action to be initiated by the Registrar/CoE.
- ii. The registration of a candidate shall stand cancelled in case of failure of submission of thesis within the prescribed period including the extended period. Such candidates shall be allowed to register as a fresh candidate for continuation of his/her research. He/ She shall be treated as fresh candidate however; the minimum period for submission of the thesis shall be four semesters.

4.5 Change of Category of Candidature

- i. A PhD student shall be allowed to change his/her category from full time to part time or vice-versa only once during the tenure of the PhD program on the following valid reasons:
 - Employment
 - Medical grounds
 - Valid personal grounds
- ii. After completion of the course work, student may apply for change of category from full time to part time or vice versa through DRC in the prescribed format (Form X) along with valid documents such as appointment letter/NOC from the employer/medical certificate/documents endorsing valid personal grounds etc. However, part time Ph. D students in 9th/10th semester are not eligible to change their category of research to full time.

4.6 Change of Area of Research

The change in the topic or area of research may be allowed by the Research Committee on the request of the scholar through his /her Supervisor. However, such change is only permissible once during the entire period of registration. The candidate shall pay the prescribed fee for the change of the topic.

4.7 Termination of Candidature

- i. If in the opinion of the Research Committee a scholar's work is not satisfactory, the Committee shall ask the scholar to show cause as to why his / her candidature should not be terminated.
- ii. The response of the concerned scholar to the show cause notice shall be considered by the Research Committee which may thereon recommend to the Vice-Chancellor that the scholar be allowed to continue with his / her research or that his / her candidature be terminated.
- iii. After consideration of the recommendations of the Committee, the Vice-Chancellor may either permit the scholar to continue with his / her research or terminate the candidature or permit the candidate to re-enroll specifying conditions for such re- enrolment.
- iv. If the scholar does not respond to the show cause notice, then on the recommendations of the Research Committee, the Vice-Chancellor shall terminate candidature of the concerned scholar and the Registrar shall officially inform the scholar of such termination.
- v. A scholar whose candidature is terminated under these Regulations may prefer an appeal to the Academic Council. Such an appeal should be submitted in writing within 60 days from the date of the receipt of the order.
- vi. The Academic Council on proper examination of the case may either uphold the Research Committee's decision or may reverse such decision or may take any other appropriate decision in this regard.

4.8 Pre-submission Presentation

- i. At least three months prior to the submission of the thesis the student shall submit an abstract in the prescribed format (Form IX) in not more than 1,500 words in hard copy (triplicate) and soft copy (rewritable CD) to the Registrar/Controller of Examination through the Supervisor (and Co-supervisor, if needed) and appear for a pre-submission seminar before the RC on the research carried out by him/her which would be open to all the faculty members and research scholars for suggestions. The suggestions received may be suitably incorporated into the draft thesis on the advice of the Supervisor. The student shall submit the abstract through the RC to the

Registrar/Controller of Examination for necessary action.

- ii. A student shall review and finalize the title of his/her thesis at the time of presentation of pre-submission seminar and get it approved by the RC.

4.9 Publication of Research Paper

A Ph.D. scholar shall publish two research papers in a refereed journal (University may notify the names of journals in which scholar would be required to publish their paper) or UGC listed journal before the submission of the thesis and produce evidence for the same in the form of acceptance letter or the reprint.

4.10 Submission of Thesis

- a) The thesis shall be in English and shall be the result of scholar's own work attaining a satisfactory standard of literary presentation.
- b) Before a scholar submits his / her thesis, the Supervisor appointed for the purpose must be satisfied that the thesis conforms to the requirements of the Regulations and a certificate to this effect from the Supervisor shall accompany the thesis when the is submitted.

Provided that if the Supervisor does not provide a certificate to the scholar, the Research Committee shall determine whether the thesis shall be accepted for evaluation or not and take appropriate measures for submission / re-submission of the thesis accordingly.

- c) As per UGC guideline (Point No 9.5), the thesis shall contain a certificate of Plagiarism Check indicating that 'the research is within the permissible limit of plagiarism and hence, the thesis is fit for submission'. However, if the plagiarized content for any thesis exceeds 15% or more of the total work, or 10% or more of total work from a single source then the candidate shall not be provided with this certificate.
- d) No part of the thesis shall have been submitted for the award of any degree/diploma of this University or any other university/Institute.
- e) Five copies of the thesis shall be submitted initially in paperback cover and soft copy (rewritable CD). Final hard cover copies (at least three) and a soft copy (rewritable CD) after incorporating suggestions/modifications recommended by the Examiners shall be submitted within 15 days of the Viva-Voce Examination.
- f) The candidate shall submit a "No dues certificate" from the concerned departments/sections/offices/library at the time of submission of the thesis through the Supervisor (and Co-supervisor, where applicable).
- g) An abstract of 500 words and the summary of 1000 words respectively be submitted along with the thesis. The length and format of the thesis shall conform to the requirements as prescribed by the Research Committee. Guidelines for the preparation of thesis are presented in Annexure II.

Provided that the name of the Supervisor of Ph.D. scholar shall not be mentioned anywhere in three copies of the Ph.D. thesis which are to be sent to External Examiners for evaluation. **While the copies of thesis submitted for evaluation to External Examiners should not mention the name of the Supervisor, the final copies of thesis, submitted thereafter, to the University for record should distinctly mention the name of the Supervisor.**

Further that final copies of thesis, should embody **Course Work Completion Certificate**.

4.11 Board of Examiners for Thesis

After submission of the thesis by the scholar, the Vice-Chancellor, on the recommendation of the Supervisor concerned, shall appoint Board of Examiners for Thesis (BET). The Chairperson of the AC will approve the BET as stated in Definitions with the Supervisor as the Internal Examiner and two Examiners chosen from the enlisted panel (not below the rank of Associate Professor) from out of a panel of not less than five External Examiners submitted by the Supervisor, the names being arranged in alphabetical order, of whom one shall be from outside the State. The Vice-Chancellor may also add any name to the panel suggested by the Supervisor.

4.12 Evaluation of Thesis

- a) For the award of the Degree of Doctor of Philosophy, the thesis must form a distinct contribution to the knowledge in the subject and afford evidence of originality shown either by the discovery of new facts or by the exercise of independent critical analytical power.
- b) The evaluation of the thesis shall be to assess the scholar's contribution arising out of research on the topic of the thesis not including any work which forms part of the scholar's work for the award of any other degree successfully completed at this University or elsewhere. The scholar shall clearly indicate in the thesis the nature and extent of the scholar's contribution.
- c) The Supervisor certifying the thesis for submission and subsequently being the Internal Examiner shall be given the task of evaluation after the receipt of the comments of the External Examiners omitting their names. He/she shall be provided with the thesis along with the comments of the External Examiners without mentioning their names. The Supervisor/Internal Examiner shall not only evaluate the thesis but also the comments of the External Examiners, whether being considered or incorporated by the concerned Ph. D. student in his/her thesis.

- d) Along with the Ph. D. thesis examination report, the Examiners, internal and externals shall be requested to submit their considered opinion recommending any one of the following four (Form XII):
- i) *The thesis is accepted for the award of the degree.*
 - ii) *The thesis is accepted subject to modifications carried out as suggested without further reference to me.*
 - iii) *The thesis is not satisfactory for viva-voce examination but the candidate be permitted to re-submit the thesis in a revised form for re-examination.*
 - iv) *The thesis is rejected.*
- e) Once the Ph. D thesis is sent to an examiner for evaluation, the time allowed for evaluation shall be limited to two (02) calendar months, with the provision of two (02) month's grace period, with intermittent reminder at the completion of one (01) month. If the thesis evaluation report is not received within this period, by the fourth (4th) month the thesis shall automatically be sent to the next examiner in the panel.
- f) In the case of recommendation (b) under Clause 4, the research scholar shall submit the modified/ corrected version of the thesis within three (03) months, carrying out the suggested modifications.
- i) In the case of recommendation (c) under Clause 4, the research scholar shall submit the revised version of the thesis within six (06) months, carrying out the suggested corrections/ modifications.
 - ii) If both the external examiners reject the thesis, the candidate and the supervisor shall be intimated accordingly. The candidate may be allowed to register afresh. In that case he/she is not required to do the course work already completed. The candidate shall be expected to submit his/her thesis within a period not exceeding six semesters.
 - iii) If one of the external examiners rejects the thesis, it shall be sent to a third external examiner to be approved by the Chairperson, Academic Council. If the third examiner also rejects the thesis, the decision shall be considered as final. However, if recommended by the Supervisor, the process similar to point 6 may be adopted.
 - iv) Only one re-submission may be permitted. A re-submitted thesis shall go through the evaluation process as specified under the Regulations. If the re-submitted thesis also fails at the evaluation process, there shall be no provision for a further re-submission.

- g) The following guidelines shall be adhered to in case amendments are made to a thesis:
- i. The CoE shall send copies of the examiners' reports to the Supervisor/Co-supervisor for advising the research scholar to incorporate necessary corrections.
 - ii. A research scholar is not permitted to make any change to the thesis not specified by the examiners (or implied in their reports).
 - iii. Corrections and modifications to the thesis shall be incorporated in the text by changing the pages/tables/figures wherever necessary with a separate sheet indicating the corrections made with the page numbers, within two months.
 - iv. If the student needs more time to complete the modifications, extension of time may be sought by submitting an application to the Chairperson, AC through the Chairperson, RC/RC and presented the same by the Registrar/ Controller of Examination.
 - v. The examiners shall report in writing to the University giving their assessment of the thesis and their recommendations as to whether the Degree shall or shall not be awarded to the scholar. If in the examiners' assessment the thesis does not qualify for the award of the Degree, the examiners shall indicate whether additional work should be undertaken by the scholar or whether the thesis should be rejected outright.

4.13 Oral Defense Evaluation

- i) On completion of the examination process and all the examiners certifying the amendments, corrections specified having been incorporated, and the case being recommended for viva-voce examination, the Chairperson, AC shall constitute the Oral Defense Evaluation Committee (ODEC). It shall consist of the internal examiner (s) and at least one external examiner of BET nominated by the Chairperson of RC/AC. The External Examiner shall be the Chairperson of the ODEC.
- ii) If none of the external examiners of BET is available for evaluation of oral defense, the Chairperson, AC shall appoint an alternative External Examiner from the approved list of examiners to be the Chairperson of the Oral Defense (OD).
- iii) The CoE shall fix a date for OD evaluation of the thesis as early as possible having the consent of the External Examiner and the Supervisor (Internal Examiner).
- iv) On completion of all necessary formalities, the ODEC shall recommend its opinion to the Chairperson, AC through the CoE as per format (Form XIII).

NOTE

(a) Where after the viva-voce examination, the viva-voce examiners do not recommend the award of a Degree, the Research Committee may decide :

(i) to recommend that the Degree may not be awarded to the scholar;

or

(ii) to recommend that the scholar shall undertake additional work on the thesis and submit a revised thesis within a specified period;

or

(iii) to take such action as deemed appropriate before making a recommendation, provided that in making such recommendation(s) the Research Committee shall be guided by the opinion of the majority of the examiners.

(b) If a scholar fails to defend his / her thesis at the viva-voce examination, the scholar may be given one additional opportunity to defend the thesis which shall be final.

(c) A copy of the thesis shall be deposited in the library which shall be available for consultation by others, either on loan or photocopying forthwith.

Provided that, in exceptional cases, the Research Committee, on the application of the scholar and on the recommendations of the Supervisor, determine that it shall not be available for consultation by others until after the expiry of a period, which shall not ordinarily exceed one year.

(d) The University shall submit a soft copy of the Ph.D. thesis to the UGC within a period of thirty days after the award of the Ph.D. Degree to the candidate.

CHAPTER V

5.1 Award of Ph. D. degree

- i) The award of the Degree will be made at the Annual Convocation with the approval of the Academic Council , the Executive Council and the General Council of the University. The Ph.D. certificate shall indicate “Doctor of Philosophy” (Ph.D.) along with the title of Ph.D. thesis.
- ii) The Chairperson of AC shall be empowered by an Ordinance to issue the Original Degree Certificate prior to the Convocation to a needy student on written request with supporting document and payment of charges, if any.

CHAPTER VI

6.1 Confidentiality and copyright of the thesis

- a. The confidentiality of the content of the thesis shall lie with the University.
- b. The copyright of the thesis shall lie with the concerned Ph. D. holder as per the Copyright Act, 1968.
- c. Upon submission of the thesis candidates shall be asked to sign the following statement, enabling the University to allow others to read the University copy of the thesis, and use it for research or private study:

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